

Standalone Anchored Spacer for Anterior Cervical Decompression and Fusion- Short Term Analysis

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Abstract

Background: Standalone anchored spacers were aimed at reducing complications associated with traditional plating while maintaining the functionality of interbody spacer and plating. In this study, we prospectively followed up patients who underwent ACDF in single or multiple levels using the Polyetheretherketone (PEEK) Prevail cervical interbody device (Medtronic, Memphis, TN).

Method: Prospective study of 40 patients suffering from single or two level degenerative cervical disc diseases from C3-C4 to C7-T1 operated from May 2012 to May 2014. All patients underwent surgery using PEEK prevail device. Patients were evaluated using VAS neck pain and arm pain scores and disability scores. Clinical improvement was also graded by Odom's criteria at final follow up.

Result: The mean follow-up of 37 patients continued in the study was 21.5+₋2.5 months. 15 of 37 patients followed up had symptoms of mild dysphagia (40%) at immediate post op period (VAS throat pain score of 39.25+₋7.2). Out of these 15, only 3(8%) had mild dysphagia at 3 months follow up (VAS throat pain score of 32.72+₋6.9). The Pearson correlation coefficient for interobserver variability was 0.82, 0.84 and 0.78 for inter body height, segmental kyphotic angle, overall kyphotic angle respectively, indicating good to excellent correlation amongst the observers

Conclusion: The use of standalone cages in anterior cervical decompression and fusion provides short time clinical and radiological improvement with minimal complication rates although long terms follow up with these devices is known.

Keywords: VAS score, Standalone cages, Kyphotic angle, Odom's criteria.

Introduction

Surgical treatment has been advocated for long in patients with cervical disc disease with radiculopathy and/or myelopathy in whom conservative treatment fails. ACDF with plating and bone grafting/ interbody cages has been an effective surgery with good early and late post operative functional and radiological outcomes even in multi level procedures [1]. Complication like dysphagia, tracheo esophageal injury, screw loosening with migration and soft tissue damage [2] adjacent level degeneration especially in multi level cases [3] and donor site morbidity with autologous iliac crest bone grafting were associated with this surgery. Thus in the late past decade, zero profile stand alone devices with screws were introduced for use in ACDF surgeries [4].

These were aimed at reducing complications associated with traditional plating while maintaining the functionality of interbody spacer and plating. In this study, we prospectively followed up patients who underwent ACDF in single or multiple levels using the Polyetheretherketone (PEEK) Prevail cervical interbody device (Medtronic, Memphis, TN).

Material and Methods

Prospective study of 40 patients suffering from single or two level degenerative cervical disc disease from C3-C4 to C7-T1 operated in Sri Ramachandra Medical university between May 2012 to May 2014. Informed consent were obtained from all the patients and ethical clearance was obtained from institutional ethical

committee. Patient included in the study were skeletally mature with unilateral or bilateral radicular pain with/ without associated neck pain. All the patients had MRI done and confirmed single or two level cervical disc disease from C3-C4 to C7-T1 and had completed at least six weeks of conservative treatment without any improvement. The exclusion criteriae were previous surgery at the diseased level, congenital or iatrogenic fusion of the adjacent level, patients needing more than two levels of surgery, developmental cervical stenosis, systemic or local infection, active rheumatoid arthritis, uncontrolled diabetes and other co morbidities compromising surgical outcome, severe Osteoporosis, Known allergy to PEEK or titanium alloy and pregnancy or planning for pregnancy during the study period. 30 patients had single level disease and ten patients had two level disease. All patients underwent surgery using PEEK prevail device. Patients were evaluated using VAS neck pain and arm pain scores and disability scores (NPAD-D).

All patients were operated with a head extension in supine position. Post

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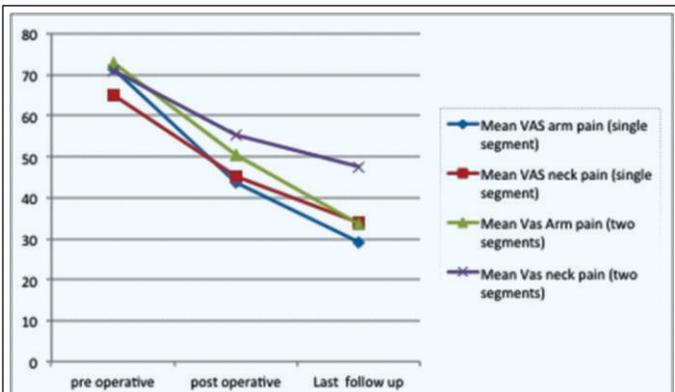


Figure 1: Graph 1- Graphical representation of single and double level surgery VAS score.

operatively, patients were mobilised under supervision with soft collar support in the first post operative day. No active physiotherapy of the neck was allowed for six weeks. Patients were assessed using the above mentioned scores at the time of discharge and then at six weeks, three months, three months and every six months thereafter. Three patients were lost to follow up at three months and were excluded from the study, leaving 37 patients for final analysis. Clinical improvement was also graded by Odom's criteria [5] at final follow up. Length and Severity of Post operative dysphagia were recorded by Bazaz's criteria at each follow up [6]. Implant related and surgery related complications were documented. Pre and post operative radiographic parameters were assessed by two independent investigators. Three parameters namely Inter body height, Segmental kyphotic angle, overall kyphotic angle and inter spinous distance were assessed radiologically in the pre op, immediate post op periods and during every follow up using the lateral radiographs. Pitzen's criteria were used to assess fusion which is defined as absence of radiolucencies and absence of bony sclerosis and evidence of bridging trabeculae within the fusion area.

A decrease in more than two mm of IBH during follow up was termed segmental collapse indicating implant subsidence. An increase in interspinous distance of more than two mm in flexion extension radiographs indicated non union. CT scans were taken at one year follow up for 20 patients who consented for additional imaging (15 single level and five bi segmental patients). Statistical analysis was

performed using SPSS software (version). Student's paired t test was used to assess the significance of difference between means.

Result

The mean follow-up of 37 patients continued in the study was 21.5+2.5 months. 15 of 37 patients followed up had symptoms of mild dysphagia (40%) at immediate post op period (VAS throat pain score of 39.25+7.2). Out of these 15, only 3(8%) had mild dysphagia at 3 months follow up (VAS throat pain score of 32.72+6.9). The mean VAS arm pain, mean VAS neck pain and mean NPAD-D scores were tabulated as per table 1 and table 2. The graph 1 represents single level and two level VAS score. According to Odom's criteria, 27 patients (73%) had good outcome, four (10%) patients had excellent outcome and three (8.5%) had poor outcome.

The Pearson correlation coefficient for interobserver variability was 0.82, 0.84 and 0.78 for IBH, SKA and OHA, respectively, indicating good to excellent correlation amongst the observers (Table 3). The mean interbody height increased significantly after surgery. There was significant improvement in segmental and overall kyphotic angles after surgery. Only two patients had an increase in interspinous distance of more than two mm in flexion-extension x rays. Three patients (8%) had evidence of non union at one year follow up x rays according

Table 1: Mean pre and post op VAS score

Parameters	Pre operative	Post operative	Last follow up	P value (Pre vs post)	P value (Pre vs Last)
VAS Arm Pain	71.62+6.61 (SEM-1.09)	45.38+8.79 (SEM-1.44)	30.35+3.51 (SEM-0.58)	<.0001	<.0001
VAS Neck Pain	66.43+5.83 (SEM-0.96)	47.59+6.39 (SEM-1.05)	36.95+7.83 (SEM-1.29)	<.043	<.001

Table 2: Mean Neck pain and disability scale

Parameter	Pre operative	3 months follow up	Last follow up	P value (pre vs 3 months)	P value (pre vs last)
% Neck Disability Index	66.27+6.18	38.54+6.22	39.32+5.5	<0.001	<0.001

Table 3: Mean Inter body height, Segmental kyphotic angle, overall kyphotic angle

Parameters	Pre op	Immediate Post op	Last Follow up	P value (Pre vs Post)	P Value (Pre vs Last)
IBH(mm)	32.6+3.29	37.5+2.98	36.2+2.2	<.001	<.001
SKA(a)	-1.8+5.33	4.3+4.76	5.2+5.15	<.001	<.001
OKA(b)	6.8+7.32	7.5+8.11	10.2+6.54	0.697	0.038

to Pitzen's criteria. 10 (27%) patients had radiologically significant subsidence. According to CT based Bridwell's criteria, 16 of these patients had Grade I fusion (80%), two patients had grade II fusion (10%) and one patient had grade III fusion (52 year old male with bi segmental surgery) and one patient had frank pseudoarthrosis. None of these patients showed evidence of vertebral fracture or encroachment of screws in foramen transversarium.

Discussion

The major concern in standalone devices is whether they provide biomechanical stability enough to achieve fusion. Studies using anchored spacers with 4 screw construct [7], three screw construct [8] and two screw construct [9] showed comparable biomechanical stability in flexion-extension, lateral bending and axial torsion with standard anterior plating. The "I beam" shape of the cage and Nitinol locking mechanism increases the stability of screw implant interface. PEEK material used in our implant is radio opaque allowing for better evaluation of fusion and it is more rigid than autograft. Moreover, several studies have shown PEEK to provide 100% fusion rates with good to excellent clinical outcome [10] with minimal subsidence maintaining foraminal decompression and sagittal alignment [11].

Hofstetter et al [12] evaluated post operative radiographs for pre vertebral swelling [13] and found that patients operated with plates had significant post operative prevertebral swellings that persisted for more than six months compared to patients who had Zero profile device fixation. Decreased incidence of midterm and late dysphagia in our study and other studies with zero profile devices, clearly support the hypothesis of hardware prominence and scarring associated with plating leading to prolonged dysphagia symptoms [12,14]. More over most our patients had odynophagia rather than true dysphagia, indicated by increased VAS throat pain scores in early post operative period.

Adjacent level ossification is another concern in plating. The cervical plates reaching the adjacent disc levels can induce and accelerate disc degeneration and osteophyte formation, leading to future complications. Park et al recommend placing the plate at least five mm away from the adjacent disc space to decrease the risk of ossification. In our study, no patient had adjacent level ossification at final follow up X rays which is the case with other studies with stand alone cages [15].

Several studies have shown that fusion and clinical outcomes decrease with increasing levels of surgery, especially when three or more levels are involved [16] in spite of implant stabilisation. But, Wang et al in their review of 60 patients with two level ACDF suggested that addition of plates significantly reduced pseudoarthrosis compared to non plated group. Guiseppi et al used 'hybrid technique' in which multilevel surgeries were done with a combination of Zero-p device and CFRP device (Depuy, synthes). More than 90% fusion rates with significant improvement of clinical outcome scores with minimal implant related complications were seen. They also outlined several technical tips in implanting these devices in multi level patients.

In our study 87% of patients had excellent to good outcomes and 13 % had fair to poor outcomes which is comparable to other studies with ACDF and plating [17] and stand alone cages [15]. Moreover, 92% and 90% of patients had x ray and CT scan

proven fusion rates respectively. Two patients with CT proven pseudoarthrosis (3 and 4 Bridwell's grading) were multilevel patients with significantly poor clinical outcome. One of the reasons for Favourable clinical and radiological outcome in our study might be due to the exclusion of patients with three or more level pathologies from the study group.

There are several limitations in our study. They include absence of control group, relatively smaller number of patients with shorter follow up duration. Moreover, CT scan, one of the most accurate tools to assess the fusion is done in just over 50% of patients. Outcome studies after ACDF are usually measured using fusion rates in most of the studies although Yue et al [18] concluded that clinical outcome was not related to fusion rates, smoking, number of levels operated, collapse or subsidence. More over recent literature has suggested that radiographic results alone are not suggestive of successful clinical outcome. Quality of life measurement is an important tool to assess post operative outcome [19] which is also a draw back in our study as we did not use health status questionnaire such as SF36.

Radiological outcome other than fusion is assessed with three criteria namely IBH, SKA and OKA (cervical Cobb angle) [20]. How far these measurements correlate with clinical improvement is not known. The mean IBH increased significantly after surgery from pre operative values and then decreased slightly after surgery. The mean preoperative SKA value is negative indicating relative segmental kyphosis in operated levels. The mean SKA and OKA improved significantly at final follow up compared to pre operative values. Minimal subsidence is an invariable consequence of any interbody fusion device as seen in our study. Since the implant subsidence cannot be measured due to the radiolucency of the implant, it was indirectly measured by the loss of inter body height in any of the follow ups.

Keeping stringent criteria of > or = two mm for segmental collapse tend to overestimate subsidence as in our study. But the segmental collapse didn't translate clinically, as these patients had no significant increase in neck or arm pain scores in any of the

follow ups.

Vaccaro et al [21] in a recent literature review reported an incidence of screw and plate loosening between 0% and 15.4%, screw breakage between 0% and 13.3%, plate breakage between 0% and 6.7%, plate and graft displacement (with or without graft fracture) between 0% and 21.4%, and implant malposition (screws in discs, plating of unfused segments, etc) between 0% and 12.5% for long segmental anterior plate fixation. None of these complications were seen in our series indicating that the implant and the surgical technique give reproducible midterm results with minimal complications in single and two level surgeries.

Non operative treatment of axial neck pain with or without radiculopathy is successful in around 75% of patients [22]. In patients with failed non operative treatment, fusion and cervical disc arthroplasty remain two major surgical options [23]. Although disc arthroplasty seems to be a viable option in cervical spine compared to lumbar spine, less than 50% of patients meet the inclusion criteria for this procedure as per Auerbach et al [2]. In these patients where motion preserving surgery is contraindicated, anterior cervical decompression and fusion remains the operative treatment of choice for both axial neck pain [25] and cervical radiculopathy [26], although patients with isolated axial neck pain are excluded from our study.

Conclusions

The results from our prospective study indicate that use of standalone cages in anterior cervical decompression and fusion provides short time clinical and radiological improvement with minimal complication rates although long term follow up with these devices is known. Further long term studies are required to validate the usage of these devices, especially in multi level disease.

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